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Dated: October 13, 2005

Signature:

(Sarah J. Buta)

Docket No.: 08228/073001
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Shiro Sakai et al.

Application No.: 10/525,998

Confirmation No.: 6220

Filed: February 28, 2005

Art Unit: 2875

For: LIGHT-EMITTING DEVICE HAVING LIGHT-
EMITTING ELEMENTS

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error under the Assignment for Published Patent Application section and the Foreign Applications section. Applicant respectfully requests that the second Assignee's name be changed from "Nitride Semiconductors Co.Ltd., Tokushima, JAPAN" to -- **Nitride Semiconductors Co., Ltd., Tokushima, JAPAN** --. Applicant also requests that the following Foreign Priority Information be added to the Filing Receipt under the Foreign Applications Section:


Country::	Application number::	Filing Date::	Priority Claimed::
Japan	2002-249957	08/29/02	Yes

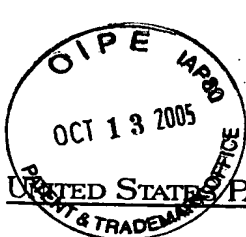
Enclosed is a copy of the signed Declaration and Power of Attorney for Patent Application, which shows the correct listing of the foreign priority application. Also, enclosed is a copy of the originally filed Application Data Sheet, which shows the correct listing of the foreign priority application and the correct listing of the second Assignee's name. Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 08228/073001.

Dated: October 13, 2005

Respectfully submitted,

By  #45,079
Jonathan P. Osha *Thomas Scheler*
Registration No.: 33,986
OSHA · LIANG LLP
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10-14-05

2814/IFW
Page 1 of 3
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OSHA • LIANG LLP

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/525,998	02/28/2005	2875	900	08228/073001	19	13	1

CONFIRMATION NO. 6220

FILING RECEIPT



OC000000016597894

22511
OSHA LIANG L.L.P.
1221 MCKINNEY STREET
SUITE 2800
HOUSTON, TX 77010

Date Mailed: 07/27/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shiro Sakai, Tokushima, JAPAN;
Jin-Ping Ao, Tokushima, JAPAN;
Yasuo Ono, Tokushima, JAPAN;

Action:	Reg. Docketing
Base Date:	7/27/05
Due Date:	9/27/05
Deadline:	9/27/05
Initials:	FD
Atty./Sec. Initials:	

Assignment For Published Patent Application

Shiro Sakai, Tokushima, JAPAN
Nitride Semiconductors Co.Ltd., Tokushima, JAPANPower of Attorney: The patent practitioners associated with Customer Number 22511.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/10922 08/28/2003

Foreign Applications

Projected Publication Date: 11/03/2005

Non-Publication Request: No

Early Publication Request: No

~~Previously Docketed~~

Action:	IDS	Foreign App-IDS
Base Date:	7/28/05	4/26/05
Due Date:	8/28/05	7/26/05
Deadline:	8/28/05	8/26/05
Initials:	FD	
Atty./Sec. Initials:		

Title

Light-emitting device having light-emitting elements

Preliminary Class

362

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations

especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

PTO Customer No.

22511

下記の氏名の発明者として、私は以下の通り宣言いたします。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の氏名が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LIGHT-EMITTING DEVICE HAVING LIGHT-

EMITTING ELEMENTS

上記発明の明細書（下記の欄でx印がついていない場合は本書に添付）は、

the specification of which attached hereto unless the following box is checked:

☐ ____月____日に提出され、
米国出願番号または特許協力条約国際出願番号を

☐ was filed on ____
as united States Application Number or PCT
International Application Number
____ and was amended on
____ (if applicable).

____とし、

(該当する場合) ____に訂正されました。

私は、請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条第56項に定義される通り、特許適格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration

日本語宣言書

私は、米国法典第35編第119条(a)-(d)項又は第365条(b)項に基づき、下記の特許もしくは発明者証の外国出願について、又は同365条(a)に基づき、下記の米国以外の国の少なくとも一カ国を指定している特許協力条約に基づく国際出願について、外国優先権をここに主張するとともに、優先権を主張している、当該出願の前に出願された特許もしくは発明者証の外国出願又は特許協力条約に基づく国際出願を以下に、枠内をマークすることで、示します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365 (b) of any foreign application(s) for patent of inventor's certificate, or 365 (a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)
外国での先行出願

Priority Not Claimed
優先権主張なし

2002-249957

Japan

29/August/2002

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願年月日)

☐

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願年月日)

☐

私は、米国法典第35編第119条(e)項に基づき、下記の特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119 (e) of any United States provisional application(s) listed below,

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、下記の米国法典第35編第120条に基づき、下記の特許出願についての、又は同365条(c)に基づき、下記の特許出願を指定している特許協力条約に基づく国際出願についての権利をここに主張するとともに、当該出願の各請求範囲の内容が、米国法典第35条第112条第1項で規定された方法で先行する米国特許出願又は特許協力条約に基づく国際出願において開示されていない限り、その先行出願の出願日以降で当該出願の国内出願日もしくは国際出願日までの期間中に入手された、連邦規則法典第37編第1条第56項で定義された特許適格の有無に関する重要な情報について、開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

PCT/JP03/10922

28/August/2003

(Application No.)
(出願番号)

(Filing Date)
(出願日)

Pending

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて、本宣言書で私が行う表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が、すべて真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は、米国法典第18編第1001条に基づき、罰金又は拘禁もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した又はすでに許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓をいたします。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration

日本語宣言書

委任状： 私は、下記の発明者として、本出願に関する一切の手続きを米国特許商標局に対して遂行する弁護士又は代理人として、下記のものを氏名いたします（弁護士又は代理人の氏名及び登録番号を明記のこと）。

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

PTO Customer No. 22511

書類送付先

Send Correspondence to:

Jonathan P. Osha
Osha & May L.L.P.
1221 McKinney St., Suite 2800
Houston, TX 77010

直接電話連絡先：（名前及び電話番号）

Direct Telephone Calls to: (name and telephone number)

Jonathan P. Osha
713-228-8600

唯一又は第一発明者名		Full name of sole or first inventor Shiro SAKAI
発明者の署名	日付	Inventor's signature date <i>Shiro Sakai</i> October 13, 2004
住所		Residence Tokushima-shi, Tokushima, Japan
国籍		Citizenship Japan
私書箱		Post Office Address 174-4, Nakatsu-ura, Hachimancho, Tokushima-shi, Tokushima 770-8072 Japan
第二共同発明者		Full name of second joint inventor, if any Jin-Ping AO
第二発明者の署名	日付	Second inventor's signature date <i>Jin-Ping AO</i> October 18, 2004
住所		Residence Tokushima-shi, Tokushima, Japan
国籍		Citizenship The People's Republic of China
私書箱		Post Office Address c/o THE UNIVERSITY OF TOKUSHIMA, 2-1, Minamijo- sanjimacho, Tokushima-shi, Tokushima 770-0814 Japan

（第三以降の共同発明者についても同様に記載し、署名をすること）

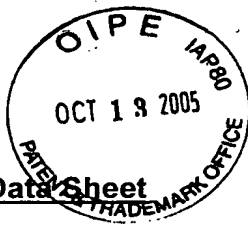
(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration

日本語宣言書

第三共同発明者	Full name of third joint inventor, if any Yasuo ONO		
第三発明者の署名	日付	Third Inventor's signature	date
		<i>Yasuo Ono</i>	November 16, 2004
住所	Residence Tokushima-shi, Tokushima, Japan		
国籍	Citizenship Japan		
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第四共同発明者	Full name of fourth joint inventor, if any		
第四発明者の署名	日付	Fourth inventor's signature	date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		
第五共同発明者	Full name of Fifth joint inventor, if any		
第五発明者の署名	日付	Fifth inventor's signature	date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		
第六共同発明者	Full name of sixth joint inventor, if any		
第六発明者の署名	日付	Sixth inventor's signature	date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		

70578_1.DOC



Application Data Sheet

22511
PATENT TRADEMARK OFFICE

Application Information

Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	N/A
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	LIGHT-EMITTING DEVICE HAVING LIGHT-EMITTING ELEMENTS
Attorney Docket Number::	08228/073001
Request for Early Publication?::	No
Request for Non-Publication?::	No
Suggested Drawing Figure::	6
Total Drawing Sheets::	19
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

Applicant Information

Applicant Authority Type::	Inventor
Primary Citizenship Country::	Japan
Status::	Full Capacity
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City of mailing address::	Tokushima
Country of mailing address::	Japan

Postal or Zip Code of mailing address:: 770-8072

Applicant Authority Type:: Inventor
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Country of Residence:: Japan
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Postal or Zip Code of mailing address:: 770-8079

Correspondence Information

Correspondence Customer Number:: 22511

Representative Information

Representative Customer Number:: 22511

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/JP03/10922	08/28/03

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Japan	2002-249957	08/29/02	Yes

Assignee Information

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